

# Recent progress on distance sets in the plane

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## Geometric measure theory

- Quantifies the size of small/irregular sets (e.g., Cantor set, Sierpinski triangle, etc.).
- Uses fractal dimensions to measure the size of a set.
- Most prominent dimensions: Hausdorff,  $\dim_H$ , and packing,  $\dim_P$ .

## Effective dimension

- Studies the intrinsic randomness of infinite objects (binary sequences, Euclidean points, etc.).
- Two main measures quantifying the amount of randomness:  $\dim$  and  $\text{Dim}$ .
- Effective versions of Hausdorff and packing dimension.

We can use effective dimension to prove (non-effective) theorems in geometric measure theory. In this talk, we show how to use effective methods to improve best known bounds for *pinned distance sets*.

# Kolmogorov complexity in Euclidean space

Fix a universal TM  $U$ .

- Let  $r \in \mathbb{N}$ , and  $x \in \mathbb{R}$ . The *Kolmogorov complexity of  $x$  at precision  $r$*  is

$$K_r(x) = \text{length of the shortest input } \pi \text{ such that } U(\pi) = d_x \\ \approx \text{the minimum number of bits to specify first } r \text{ bits of } x.$$

where  $d_x = \frac{m}{2^r}$  is the closest dyadic rational at precision  $r$  to  $x$ .

- Can generalize this to  $\mathbb{R}^n$ .
- The *Kolmogorov complexity of  $x$  at precision  $r$  given  $y$  at precision  $t$*  is

$$K_{r,t}(x | y) = \text{length of the shortest input } \pi \text{ such that } U(\pi, d_y) = d_x \\ \approx \text{the minimum number of bits to specify first } r \text{ bits of } x \text{ if you know} \\ \text{first } t \text{ bits of } y.$$

where  $d_y = (\frac{m_1}{2^t}, \dots, \frac{m_n}{2^t})$  is the closest dyadic rational at precision  $t$  to  $y$ .

# Kolmogorov complexity in Euclidean space

- For every  $x \in \mathbb{R}^n$  and  $r \in \mathbb{N}$ ,  $0 \leq K_r(x) \leq nr + O(\log r)$ .
  - If  $x$  is computable, then  $K_r(x) = O(\log r)$
  - Almost every point satisfies  $K_r(x) = r - O(\log r)$  for every  $r \in \mathbb{N}$ . We call these points *random*.
- Symmetry of information: For every  $x \in \mathbb{R}^n$ ,  $y \in \mathbb{R}^m$ , and  $r, t \in \mathbb{N}$ ,
$$K_{r,t}(x, y) = K_t(y) + K_{r,t}(x | y) + O(\log r + \log t).$$
- We can *relativize* the definitions in the natural way to get  $K_r^A(x)$ ,  $K_{r,t}^A(x | y)$ , ... for any oracle  $A \subseteq \mathbb{N}$ .

# Effective dimensions of points

## Definition (Lutz '03, Mayordomo '03)

Let  $n \in \mathbb{N}$ , and  $x \in \mathbb{R}^n$ . The *(effective Hausdorff) dimension* of  $x$  is

$$\dim(x) = \liminf_{r \rightarrow \infty} \frac{K_r(x)}{r}.$$

## Definition (Athreya et al. '07, Lutz and Mayordomo '08)

Let  $n \in \mathbb{N}$ , and  $x \in \mathbb{R}^n$ . The *(effective) strong dimension* of  $x$  is

$$\text{Dim}(x) = \limsup_{r \rightarrow \infty} \frac{K_r(x)}{r}.$$

The effective dimensions of a point  $x$  measure the density of algorithmic information in  $x$ .

# Dimension of distances

Let  $x, y \in \mathbb{R}^n$ . Let  $d_x := \dim(x)$  and  $d_y := \dim^x(y)$ .

**Question:** What is  $d := \dim^x(|x - y|)$ ?

- 1  $0 \leq d \leq \min\{1, d_y\}$ .
- 2 If  $d_y = n$ , then  $d = 1$ .
- 3 This is a natural (even innocuous) question. The analogous (classical) question is one of the most fundamental open problems in geometric measure theory: Falconer's distance set conjecture.

# The point-to-set principle

Theorem (J. Lutz and N. Lutz, '16)

For every set  $E \subseteq \mathbb{R}^n$ ,

$$\dim_H(E) = \min_{A \subseteq \mathbb{N}} \sup_{x \in E} \dim^A(x), \text{ and}$$

$$\dim_P(E) = \min_{A \subseteq \mathbb{N}} \sup_{x \in E} \text{Dim}^A(x).$$

- The Hausdorff and packing dimension of a *set* is characterized by the corresponding dimension of the *points* in the set.
- Allows us to use algorithmic techniques to answer questions in geometric measure theory.

Let  $E \subseteq \mathbb{R}^n$ . The distance set of  $E$  is

$$\Delta E = \{|x - y| \mid x, y \in E\}.$$

More generally, if  $x \in \mathbb{R}^n$ , the pinned distance of  $E$  w.r.t.  $x$  is

$$\Delta_x E = \{|x - y| \mid y \in E\}.$$



When  $E$  is a finite set, Erdős conjectured that  $|\Delta E|$  is at least (almost) linear in terms of  $|E|$ .

- In a breakthrough paper, Guth and Katz proved that  $|\Delta E| \gg \frac{|E|}{\log |E|}$ .
- Still an important open problem for  $\mathbb{R}^n$  with  $n \geq 3$ .

Falconer posed an analogous question for the case that  $E$  is infinite, known as Falconer's *distance set problem*.

- If  $E \subseteq \mathbb{R}^n$  has  $\dim_H(E) > n/2$ , then  $\Delta E$  has positive measure.
- Still open in all dimensions.
- Guth, Iosevich, Ou and Wang proved that if  $E \subseteq \mathbb{R}^2$  and  $\dim_H(E) > 5/4$ , then  $\mu(\Delta E) > 0$ .

# Known bounds - high dimensional case

Substantial progress has been made in a slightly different direction, on the Hausdorff dimension of pinned distance sets in the plane. We assume  $E \subseteq \mathbb{R}^2$  is Borel (or analytic).

- (Shmerkin) If  $\dim_H(E) > 1$  and  $\dim_H(E) = \dim_P(E)$ , then

$$\sup_{x \in E} \dim_H(\Delta_x E) = 1.$$

- (Liu) If  $\dim_H(E) = d \in (1, 5/4)$ , then  $\sup_{x \in E} \dim_H(\Delta_x E) \geq \frac{4}{3}d - \frac{2}{3}$ .

- (S.) If  $\dim_H(E) =: d > 1$ , then

$$\sup_{x \in E} \dim_H(\Delta_x E) \geq \frac{d}{4} + \frac{1}{2}$$

# Our results - high dimensional case

Let  $E \subseteq \mathbb{R}^2$  be analytic and  $1 < d < \dim_H(E)$ .

- There is a subset  $F \subseteq E$  of full dimension such that, for all  $x \in F$ ,

$$\dim_H(\Delta_x E) \geq \frac{d(4-d)}{5-d}$$

- This improves the best known bounds when  $\dim_H(E) \in (1, 1.127)$ .
- For all  $x$  outside a set of dimension 1

$$\dim_H(\Delta_x E) \geq \frac{\dim_P(E)+1}{2 \dim_P(E)}.$$

- If  $\dim_P(E) < \frac{d(3+\sqrt{5})-1-\sqrt{5}}{2}$ , then for all  $x$  in a subset of full dimension  $\dim_H(\Delta_x E) = 1$ .

- There is a point  $x \in E$  such that

$$\dim_P(\Delta_x E) \geq \frac{12-\sqrt{2}}{8\sqrt{2}} \approx 0.9356$$

- Improves (slightly) the Keleti-Shermkin bound for packing dimension.

## Known bounds - low dimensional case

We now consider the “low” dimensional case, when  $\dim_H(E) \leq 1$ . We assume  $E \subseteq \mathbb{R}^2$  is Borel (or analytic).

- (Shmerkin and Wang) If  $\dim_H(E) =: d \leq 1$  and  $\dim_H(E) = \dim_P(E)$ , then

$$\sup_{x \in E} \dim_H(\Delta_x E) = d.$$

- (Shmerkin and Wang) If  $\dim_H(E) =: d \leq 1$ , then

$$\sup_{x \in E} \dim_H(\Delta_x E) \geq \frac{d}{2} - \frac{d^2}{2(2+\sqrt{d^2+4})}.$$

- (Du, Ou, Ren and Zhang) If  $\dim_H(E) = d \leq 1$ , then

$$\sup_{x \in E} \dim_H(\Delta_x E) \geq \frac{5}{3}d - 1$$

# Our results - low dimensional case

## Theorem (Fiedler and S.)

Suppose  $E \subseteq \mathbb{R}^2$  is analytic set,  $d := \dim_H(E) \leq 1$  and  $D := \dim_P(E)$ . Then,

$$\sup_{x \in E} \dim_H(\Delta_x(E)) \geq d \left( 1 - \frac{\alpha D - d(D + \alpha - d)}{(d+1)(\alpha D - d^2) - d^2(\alpha + D - 2d)} \right),$$

where  $\alpha = \min\{1 + d, D\}$ .

## Corollary

Suppose  $E \subseteq \mathbb{R}^2$  is analytic set and  $d := \dim_H(E) \leq 1$ . Then,

$$\sup_{x \in E} \dim_H(\Delta_x(E)) \geq d \left( 1 - \frac{2-d}{2(1+2d-d^2)} \right).$$

# Universal sets

We can generalize the problem, by considering a *pinned set*  $X$ , and a *test set*  $Y$ , and investigating

$$\sup_{x \in X} \dim_H(\Delta_x Y).$$

Let  $X \subseteq \mathbb{R}^2$ , and let  $\mathcal{C}$  be a class of subsets of  $\mathbb{R}^2$  e.g. Borel sets, analytic sets, or weakly regular sets. We say that  $X$  is *universal for pinned distances* for  $\mathcal{C}$  if, for every  $Y \in \mathcal{C}$  there exists some  $x \in X$  such that

$$\dim_H(\Delta_x(Y)) = \min\{\dim_H(Y), 1\} \tag{1}$$

**Question:** Are there “small” universal sets for the class of Borel subsets of  $\mathbb{R}^2$ ?

## Theorem (Fiedler and S.)

*Let  $X \subseteq \mathbb{R}^2$  be a compact, Ahlfors-David regular set such that  $\dim_H(X) > 1$ . Then  $X$  is universal for pinned distances for the class of Borel sets  $Y \subseteq \mathbb{R}^2$ .*

In particular, if  $X \subseteq \mathbb{R}^2$  is a four-corner Cantor set with  $\dim_H(X) > 1$ , then, for every Borel  $Y \subseteq \mathbb{R}^2$ , there is a point  $x \in X$  such that

$$\dim_H(\Delta_x Y) = \min\{\dim_H(Y), 1\}.$$

We can slightly weaken the assumption that  $X$  is AD-regular.

# Our results (low dimensional case)

## Theorem (Fiedler and S.)

Suppose  $E \subseteq \mathbb{R}^2$  is analytic set,  $d := \dim_H(E) \leq 1$  and  $D := \dim_P(E)$ . Then,

$$\sup_{x \in E} \dim_H(\Delta_x(E)) \geq d \left( 1 - \frac{\alpha D - d(D + \alpha - d)}{(d+1)(\alpha D - d^2) - d^2(\alpha + D - 2d)} \right),$$

where  $\alpha = \min\{1 + d, D\}$ .

## Corollary

Suppose  $E \subseteq \mathbb{R}^2$  is analytic set and  $d := \dim_H(E) \leq 1$ . Then,

$$\sup_{x \in E} \dim_H(\Delta_x(E)) \geq d \left( 1 - \frac{2-d}{2(1+2d-d^2)} \right).$$



## Theorem (Fiedler, S.)

Suppose that  $x, y \in \mathbb{R}^2$ ,  $e = \frac{y-x}{|y-x|}$  and  $0 < \sigma < 1$  satisfy the following.

(C1)  $\dim(x), \dim(y) > \sigma$

(C2)  $K_r^x(e) > \sigma r - O(\log r)$  for all  $r$ .

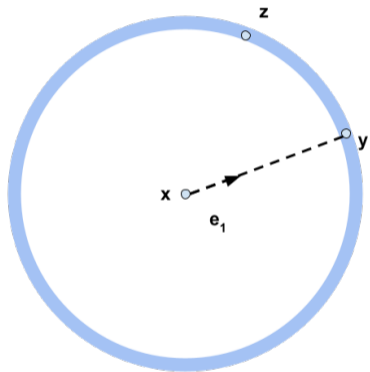
(C3)  $K_r^x(y) \geq K_r^A(y) - O(\log r)$  for all sufficiently large  $r$ .

(C4)  $K_{t,r}(e | y) > \sigma t - O(\log r)$  for all sufficiently large  $r$  and  $t \leq r$ .

Then  $\dim^x(|x - y|) \geq \sigma \left( 1 - \frac{\alpha D - \sigma(D + \alpha - \sigma)}{(\sigma + 1)(\alpha D - \sigma^2) - \sigma^2(\alpha + D - 2\sigma)} \right)$ , where  $D = \max\{D_x, D_y\}$  and  $\alpha = \min\{D, 1 + \sigma\}$ .

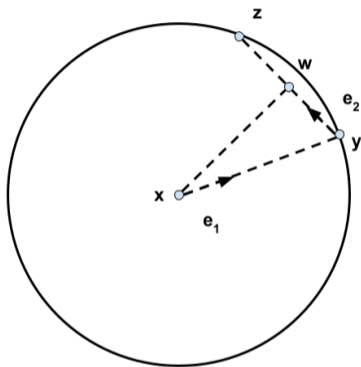
- 1 Most of the work is in proving this theorem. We then use the point-to-set principle to conclude the classical theorem on the Hausdorff dimension of pinned distance sets.
- 2 Note that we assume the direction from  $x$  to  $y$  is of high dimension given  $x$  or  $y$ .

Let  $x, y \in \mathbb{R}^2$ . We want to lower bound  $\dim^x(|x - y|)$ .



- From the definition of dimension, it is natural to fix a precision  $r \in \mathbb{N}$ , and give a lower bound on  $K_r^x(|x - y|)$ .
- By the symmetry of information, this is equivalent to prove an upper bound on  $K_r^x(y \mid |x - y|) \approx K_r^x(y) - K_r^x(|x - y|)$ .
- Intuitively: Given  $x$  as an oracle, and knowing (an approximation of)  $|x - y|$ , how hard is it to compute (an approximation of)  $y$ ?
- Enumerate rationals  $z$  such that  $K_r(z) \leq K_r(y)$  and  $||x - y| - |x - z|| < 2^{-r}$ . Suffices to bound the dyadic covering number of such  $z$ 's.

**Goal:** Bound  $K_r^x(y \mid |x - y|)$ . Can enumerate rationals  $z$  such that  $K_r(z) \leq K_r(y)$  and  $||x - y| - |x - z|| < 2^{-r}$ .



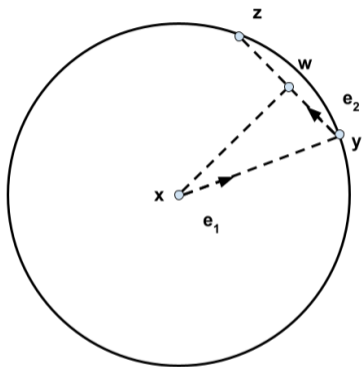
For any  $e \in \mathcal{S}^1$  and  $x \in \mathbb{R}^2$ ,  
 $p_e x = e \cdot x$ .

①  $|p_{e_1} y - p_{e_1} z| \lesssim |y - z|^2$

②  $p_{e_2} x = p_{e_2} w$

- Reduce this to *projections*.
- If there is such a  $z$  with  $|z - y| < 2^{-r/2}$ , then  $p_{e_1} y \approx p_{e_1} z$ .
- That is,  $y$  and  $z$  determine (an approximation of)  $e_1$ :  
 $K_{r-t}(e_1 \mid y) \lesssim K_r(z \mid y)$ .
- If there are many such  $z$ 's then the direction  $e_1$  is compressible.
- But we assumed that the direction was of high dimension.

**Goal:** Bound  $K_r^x(y \mid |x - y|)$ . Can enumerate rationals  $z$  such that  $K_r(z) \leq K_r(y)$  and  $||x - y| - |x - z|| < 2^{-r}$ .



For any  $e \in \mathcal{S}^1$  and  $x \in \mathbb{R}^2$ ,  
 $p_e x = e \cdot x$ .

- 1  $|p_{e_1} y - p_{e_1} z| \lesssim |y - z|^2$

- 2  $p_{e_2} x = p_{e_2} w$

- If there is such a  $z$  then  $p_{e_2} x \approx p_{e_2} w$ .
- That is,  $y$  and  $z$  determine a line containing  $x$ .
- Moreover, this line has some randomness, since it is close to  $e_1^\perp$ .
- Given this, we can bound  $K_{r-t}(x \mid y, z)$ .
- If there are many such  $z$ 's then the point  $x$  is compressible.
- But we assumed that the pinned point  $x$  was of high dimension.

**Thank you!**