

January 22 and February 19: A. R. D. Mathias (Freiburg im Breisgau, Réunion and Cambridge)

Title: Iteration problems in symbolic dynamics

Abstract: For  $\mathcal{X}$  a Polish space,  $f : \mathcal{X} \rightarrow \mathcal{X}$  continuous and  $k \in \omega$ , write  $f^k$  for the  $k^{\text{th}}$  iterate of  $f$ , so that for each  $x \in \mathcal{X}$ ,  $f^0(x) = x$  and  $f^{k+1}(x) = f(f^k(x))$ . Write  $\omega_f(x)$  for the set of accumulation points of the forward orbit of  $x$  under  $f$ , including the periodic points.

Such  $\omega$ -**limit sets** are closed in  $\mathcal{X}$  and under  $f$ ; so

$$(*) \quad y \in \omega_f(x) \implies \omega_f(y) \subseteq \omega_f(x).$$

Define  $\Gamma_f$  on subsets of  $\mathcal{X}$  by

$$\Gamma_f(X) = \bigcup \{\omega_f(x) \mid x \in X\}.$$

For  $a \in \mathcal{X}$ , define  $A^0(a, f) = \omega_f(a)$ ;  $A^{\beta+1}(a, f) = \Gamma_f(A^\beta(a, f))$ .

By  $(*)$   $A^0(a, f) \supseteq A^1(a, f) \supseteq A^2(a, f) \dots$  so set  $A^\lambda(a, f) = \bigcap_{\nu < \lambda} A^\nu(a, f)$  for limit  $\lambda > 0$ ; then for all ordinals  $\alpha < \beta \implies A^\alpha(a, f) \supseteq A^\beta(a, f)$ .

DEFINITION  $\theta(a, f) =_{\text{df}}$  the least  $\theta$  with  $A^\theta(a, f) = A^{\theta+1}(a, f)$ .

The question raised by the Dynamics Group in Barcelona in 1993 was : **what are the possible values of the function  $\theta(a, f)$  ?**

I showed in [2a] that for  $f$  the shift function defined in [1], all countable ordinals are possible values of  $\theta(a, f)$ , and whilst in Barcelona I repeatedly attempted to prove that no uncountable ordinal is.

But a note from David Fremlin refuting a related conjecture of mine showed me that I had been barking up the wrong tree, and starting from a refinement of Fremlin's argument, I was led in Réunion in 2001 to develop an extremely difficult new iteration method which led to my proof that, again for  $f$  the shift function, there are points  $a$  with  $\theta(a, f) = \omega_1$ ; indeed there are recursive such  $a$ .

In today's talk I shall recall ideas from [2a] and prepare the iteration method of [2c]; next week I shall complete the construction of recursive  $a$  with  $\theta(a, f) = \omega_1$ , and then, to encourage young researchers to seek new applications of my iteration method, I shall review related open questions.

Of the papers fully listed in the handout, central to these two talks are

- [1] LL. ALSEDÀ, M. CHAS, J. SMÍTAL, The structure of the  $\omega$ -limit sets
- [2a] A. R. D. MATHIAS, Delays, recurrence and ordinals
- [2c] A. R. D. MATHIAS, Analytic sets under attack
- [6a, b, c] C. DELHOMMÉ, unpublished papers on the shift's attacks.
- [7] Andreas BLASS, *Ultrafilters: where topological dynamics = algebra = combinatorics*. Topology Proc. **18** (1993), 33–56.